S AO 120 (Rev. 2/99)

TO:

Mail Stop 8 Director of the U.S. Patent & Trademark Office

Richard W. Wieking

P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN **ACTION REGARDING A PATENT OR TRADEMARK**

In Compliance with 35 § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been ☐ Trademarks: filed in the U.S. District Court Northern District of California on the following X Patents or U.S. DISTRICT COURT DATE FILED DOCKET NO. 450 Golden Gate Avenue, 16th Floor, San Francisco, CA 94102 CV 14-03933 EDL 8/29/14 DEFENDANT PLAINTIFF IBM CORPORATION TWIN PEAKS SOFTWARE INC. DATE OF PATENT PATENT OR HOLDER OF PATENT OR TRADEMARK OR TRADEMARK * TRADEMARK NO. See attached copy of Complaint 7,418,439 8,028,113 8,392,477 4 5 In the above—entitled case, the following patent(s) have been included: **INCLUDED BY** DATE INCLUDED ☐ Cross Bill ☐ Other Pleading ☐ Answer ☐ Amendment PATENT OR DATE OF PATENT HOLDER OF PATENT OR TRADEMARK OR TRADEMARK TRADEMARK NO. 2 3 4 In the above-entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT DATE (BY) DEPUTY CLERK CLERK September 2, 2014 Maria Loo